

UNITED STATE DEPARTMENT OF COMMERCE Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Paper No. 39

Baker & Botts
The Warner
1299 Pennsylvania Avenue, N.W.
Washington, D.C. 20004

COPY MAILED

AUG U 5 1998

SPECIAL PROGRAMS OFFICE DAC FOR PATENTS

In re Patent No. 5,374,657
Issue Date: December 20, 1994

Application No. 07/944,739 : Filed: September 14, 1992 :

Attorney Docket No.062308.0158

NOTICE

A submission on March 31, 1998, of the fee difference between a small entity fee(s) paid and the non-small entity fee(s) due at the time of the submission, has been received. This is a notice that the fee deficiencies may not be accepted at this time.

Note that in <u>DH Technology v. Synergystex International</u>, Inc., 937 F. Supp. 902, 40 USPQ2d 1754 (N.D. Cal. 1996), the District Court for the Northern District of California held that the submission of the full issue fee under 37 CFR 1.28(c) must also meet the full revival requirements of 37 CFR 1.317. The requirements for acceptance under 37 CFR 1.317 are similar to the provisions for revival under 37 CFR 1.137 and the reinstatement proceedings under 37 CFR 1.378. <u>DH Technology</u> is currently under appeal to the Court of Appeals for the Federal Circuit (CAFC).

In view of the fact that all conditions for acceptance of the 37 CFR 1.28 request should be met before granting the relief requested and it is not clear, at this point, whether a petition to revive is also needed as part of the submission of the fee difference, the additional fee, while received, cannot be accepted at this time. The Patentee or, if applicable, the Patent Owner may wish to follow the decision in <u>DH Technology</u> and file a petition to revive the application if acceptance of the fee deficiency at this point is desired. Otherwise, in accordance with the *Official Gazette* Notice at 1198 OG 27 (May 6, 1997), the acceptance of the fee deficiency will be held in abeyance pending a CAFC decision as explained above.

Karen O. Creasy

Petitions Examiner

Patent No. 5,374,657

If petitioner desires to receive future correspondence regarding this patent, a new power of attorney should be submitted. If the new power of attorney is signed by an assignee, the assignee must comply with the requirements of 37 CFR 3.73(b).

The application will be retained in the Office of Petitions to await further action.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Assistant Commissioner for Patents

Box DAC

Washington, D.C. 20231

By FAX:

(703) 308-6916

Attn: Office of Petitions

By hand:

One Crystal Park, Suite 520

2011 Crystal Drive

Arlington, VA

Telephone inquiries should be directed to the undersigned at

(703) 308-6911.

Latrice A. Bond

Legal Instruments Examiner

Office of Petitions

Office of the Deputy Assistant Commissioner

for Patent Policy and Projects